

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number.:	<u>Unassigned</u>
Filing Date:	<u>Herewith</u>
First Named Inventor:	<u>Daniel C. Birkestrand</u>
Art Unit:	<u>Unassigned</u>
Examiner Name:	<u>Unassigned</u>
Attorney Docket Number.:	<u>ROC920030150US1</u>

[illegible]

Examiner Signature	/Meng Yao Zhe/	Date Considered	02/20/2007
--------------------	----------------	-----------------	------------

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

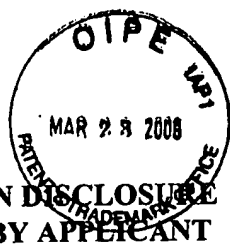
<sup>1</sup> Applicant's unique citation designation number (optional).

<sup>2</sup> Applicant is to place a check mark here if English language translation is attached.

PTO/SB/08A

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 2



Application Number.: 10/616,675  
 Filing Date: July 10, 2003  
 First Named Inventor: Daniel C. Birkestrand  
 Art Unit: 2127 2A 09  
 Examiner Name: Unassigned  
 Attorney Docket Number.: ROC920030150US1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
MZ		US - 2002/0124168 A1	09-05-2002	Mccown et al.	
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			
		US -			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>
MZ		WO 02/086698 A1	10-31-2002	Armstrong et al.		

Examiner Signature	/Meng Yao Zhe/	Date Considered	02/20/2007
--------------------	----------------	-----------------	------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number.: 10/616.675  
Filing Date: July 10, 2003  
First Named Inventor: Daniel C. Birkestrand  
Art Unit: ~~2127~~ 2109  
Examiner Name: Unassigned  
Attorney Docket Number.: ROC920030150US1

### **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

[illegible]

Examiner Signature	/Meng Yao Zhe/	Date Considered	02/20/2007
--------------------	----------------	-----------------	------------

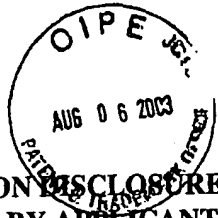
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

PTO/SB/08A

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 1

Application Number.: 10/616,675  
 Filing Date: July 10, 2003  
 First Named Inventor: Daniel C. Birkestrand  
 Art Unit: \_\_\_\_\_  
 Examiner Name: Unassigned  
 Attorney Docket Number.: ROC920030150US1

**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published.	T <sup>2</sup>
	MZ	Patent Application Serial Number 10/406,164, "Billing Information Authentication for On-Demand Resources," Daniel C. Birkestrand et al., filed April 3, 2003.	
	MZ	Patent Application Serial Number 10/424,636, "System for Determining Unreturned Standby Resource Usage," Daniel C. Birkestrand, filed April 25, 2003.	
	MZ	iSeries Model 840 Manual, "V5R1 Planning Guide for Capacity Upgrade on Demand", October 10, 2001, pp. 1-29.	
	MZ	iSeries Model 830 Manual, "V5R1 Planning Guide for Capacity Upgrade on Demand", October 10, 2001, pp. 1-30.	
	MZ	IBM eServer iSeries 400 Presentation, "Capacity Upgrade on Demand", October 3, 2000.	

Examiner Signature	/Meng Yao Zhe/	Date Considered	02/20/2007
--------------------	----------------	-----------------	------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional).

<sup>2</sup> Applicant is to place a check mark here if English language translation is attached.